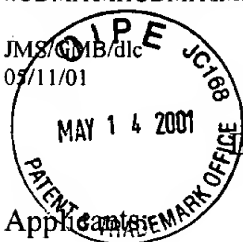


JMS/GBB/dlc
05/11/01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5
L05
5-18-01

Applicants: Thomas Mark Levergood, Lawrence C. Stewart, Stephen Jeffrey Morris,
Andrew C. Payne and George Winfield Treese

Application No.: 09/548,235 Group Art Unit: 2155

Filed: April 12, 2000 Examiner: P. Winder

Title: INTERNET SERVER ACCESS CONTROL AND MONITORING SYSTEMS

RECEIVED
MAY 17 2001
Technology Center 2100

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>5-11-01</u>	<u>[Signature]</u>
Date	Signature
<u>Deborah Celeste</u>	
Typed or printed name of person signing certificate	

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please note that Amazon.com v. BarnesandNoble.com, 57 USPQ2d 1747, cited in the form, discusses in Part VII several items which may be material. These include the Compuserve Trend System, Web-Basket and "Creating the Virtual Store" by Magdalena Yesil. In addition, note that the opinion discusses U.S. Patent No. 5,708,780, from which the current Application claims priority.

Applicants believe that in the Supplemental IDS filed on April 19, 2001, a Statement Under 37 CFR 1.97(e) was given in error. Therefore, the references cited therein are cited again in the instant IDS with appropriate payment of the \$180 fee. Nonetheless, if the Examiner believes a fee is due for the April 19, 2001 IDS, authorization is hereby granted to charge any fees related to that IDS to Deposit Account 08-0380.

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

05/16/2001 AZERGAW1 00000092 09548235

180.00 OP

01 FC:126

- ☒ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☒ a \$180.00 fee under 37 CFR 1.17(p), or
- (After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or
- (Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):
- Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.
- (Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

☐ The "concise explanation" requirement (non-English references) for reference(s) ☐ under 37 CFR 1.98(a)(3) is satisfied by:

- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ the enclosed English language abstract.

☐ Applicant requests that the following pending applications be considered:

Examiner's
Initials

____ U.S. Patent Application No. ☐, Publication No. ☐, Publication Date ☐,
by [inventor(s)], filed ☐, Docket No.: ☐

____ U.S. Patent Application No. ☐, Publication No. ☐, Publication Date ☐,
by [inventor(s)], filed ☐, Docket No.: ☐

____ U.S. Patent Application No. ☐, Publication No. ☐, Publication Date ☐,
by [inventor(s)], filed ☐, Docket No.: ☐

Examiner

Date

☐ A copy of each above-cited application, including the current claims, is enclosed.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. ☐, to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

☒ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.

☐ Please charge Deposit Account 08-0380 in the amount of \$☐. A copy of this Statement is enclosed.

[X] Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By *Gerald M. Bluhm*

Gerald M. Bluhm

Registration No.: 44,035

Telephone: (781) 861-6240

Facsimile: (781) 861-9540

Lexington, Massachusetts 02421-4799

Dated: *5/11/01*